

# The I-SPY 2 trial in the US

the role of biomarkers for treatment assignment

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UCSF Comprehensive Cancer Center, San Francisco  
Netherlands Cancer Institute, Amsterdam



**NKI-AVL**

The Netherlands Cancer Institute  
Antoni van Leeuwenhoek Hospital



University of California  
San Francisco

**UCSF**

Comprehensive  
Cancer Center



**agendia**<sup>™</sup>

*decodina cancer.*

# ISPY-2 Participating Organizations

**Sponsor:**  
**NCI, FDA, Pharma unrestricted**



## Investigational Agent Providers

Agents Approved and Under Consideration

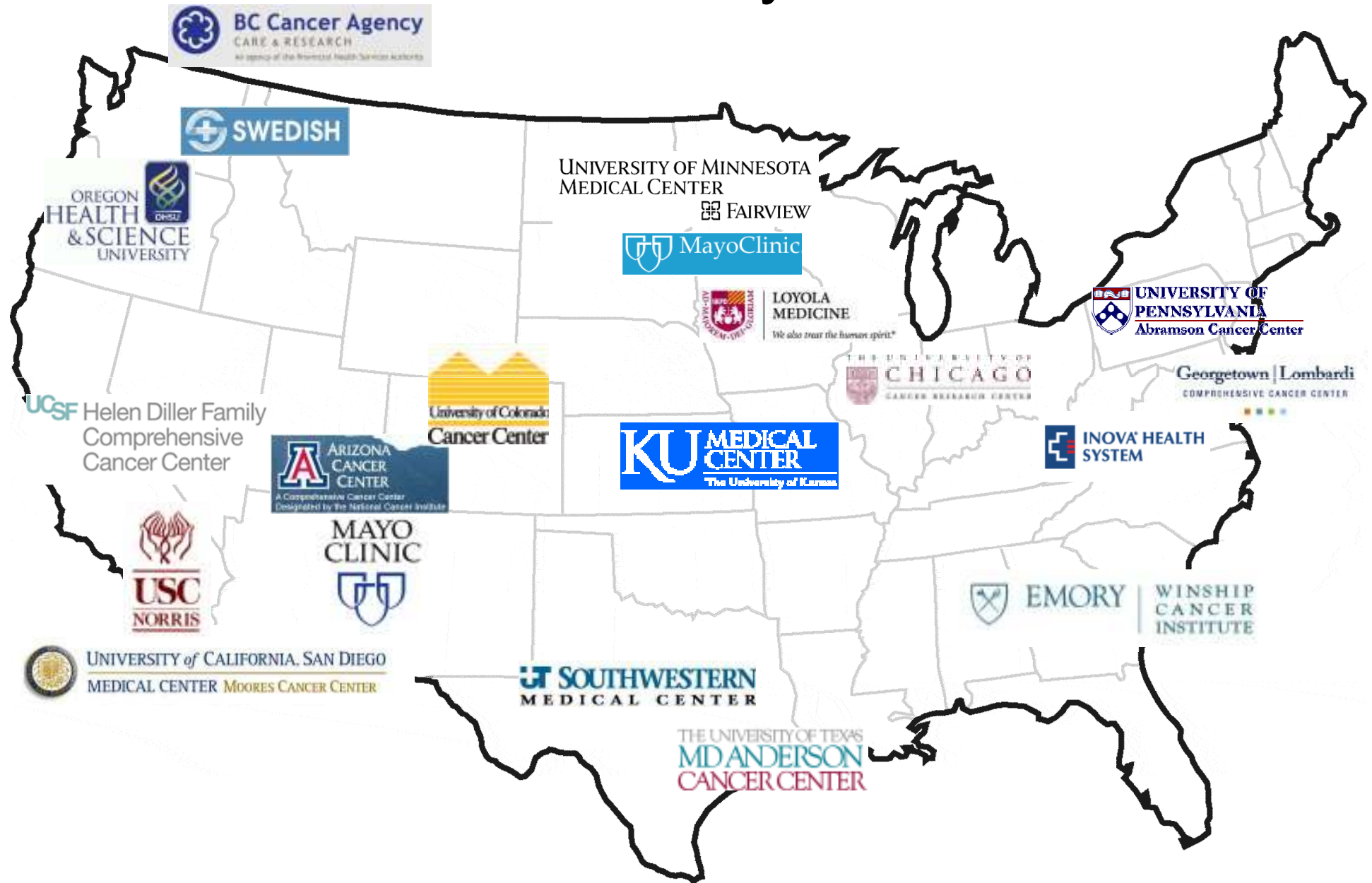


## Biomarker Device Providers

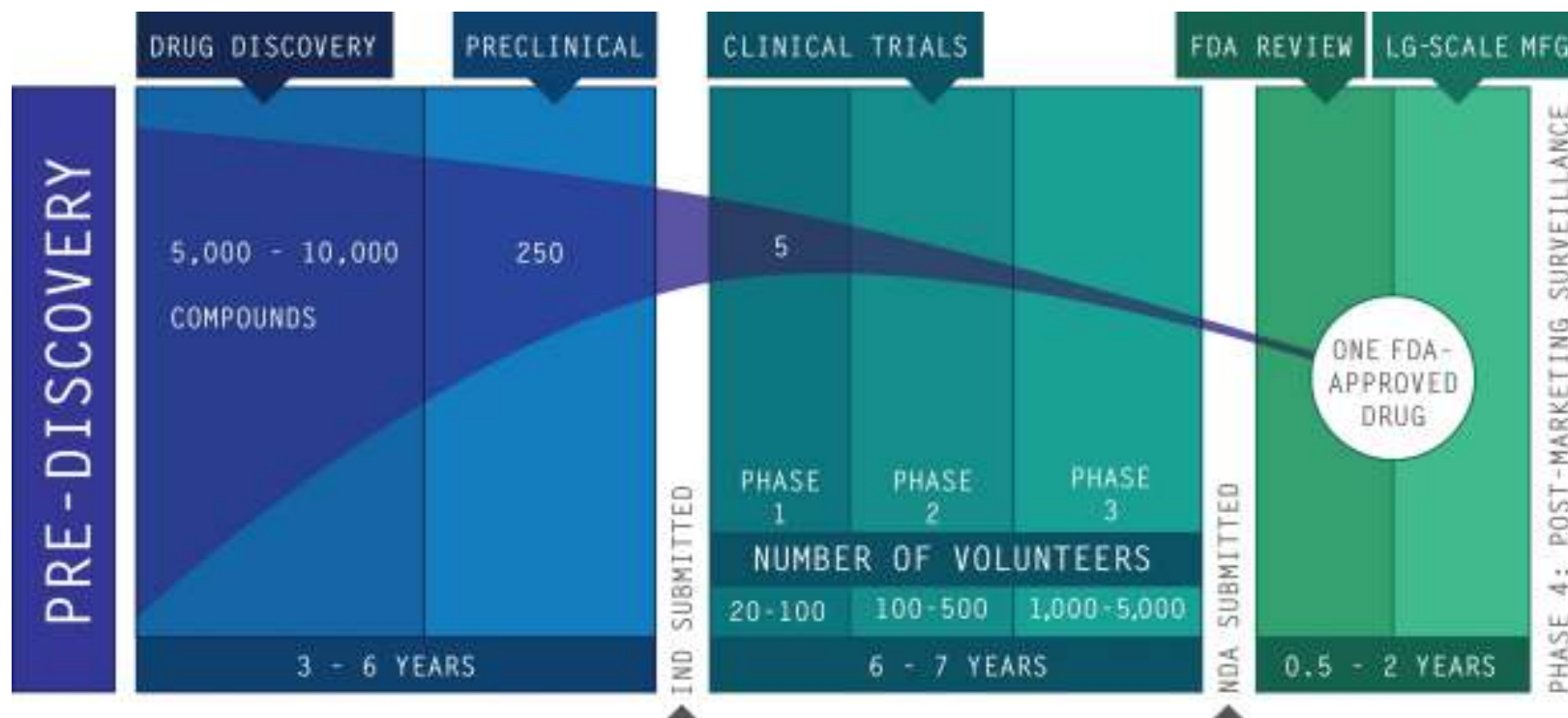
Stratifying & Qualifying



# I-SPY 2 study sites



# Drug Development – Current Model



One FDA-Approved Drug - Start to Finish

- 10- 15 Years
- 1,000 – 6,000 Volunteers
- \$1 Billion

# More Efficient Clinical Trial Process

***Inefficient clinical trials account for a majority for the time and cost associated with the failures of the current system***

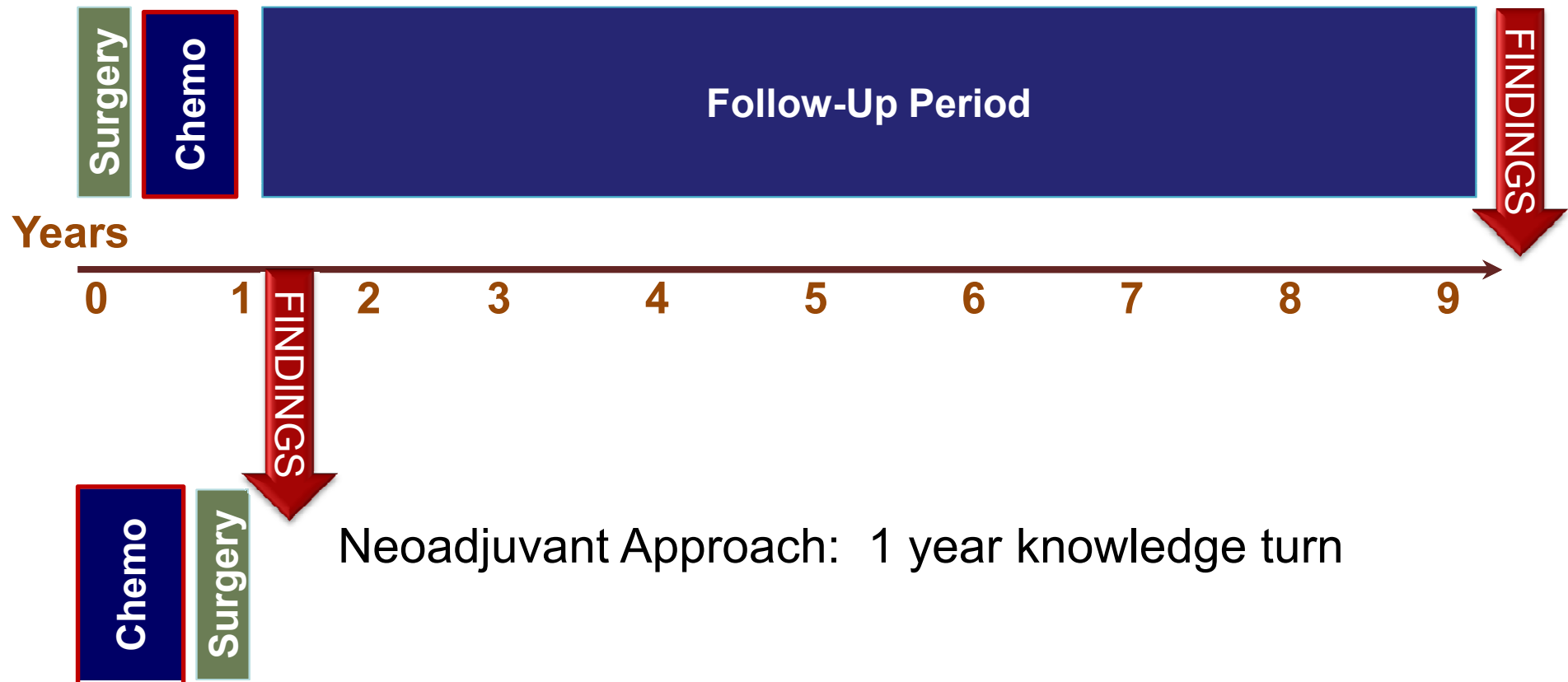
- Reduce time to conclusive results/Accelerate learning
- Reduce patient s/volunteers required
- Reduce cost of conducting trials
- Increase collaboration/Data sharing



# The “Neoadjuvant” Approach Dramatically Accelerates Knowledge Turns

Metastatic Approach: 2 to 4 year knowledge turn

Adjuvant Approach: 6 to 9 year knowledge turn



# I-SPY Trial Program

Investigation of  
Serial studies to  
Predict  
Your  
Therapeutic  
Response with  
Imaging and Molecular  
Ana-  
lysis



*I SPY WITH MY  
LITTLE EYE ...  
A BIO-MARKER  
BEGINING WITH X...*

# Who benefits from what systemic therapy

- Therapy response prediction

## I-SPY 2 neoadjuvant trial program

I-SPY PIs: Laura Esserman (UCSF)

Don Berry (MDAnderson)

Trial Operations: Angie DeMichele (UPenn)

Drug selection: Doug Yee (UMinnesota)

Patient Advocates: Jne Perlmutter (AnnArbor)

Imaging: Nola Hylton (UCSF)

Biomarkers: Laura van 't Veer (UCSF)

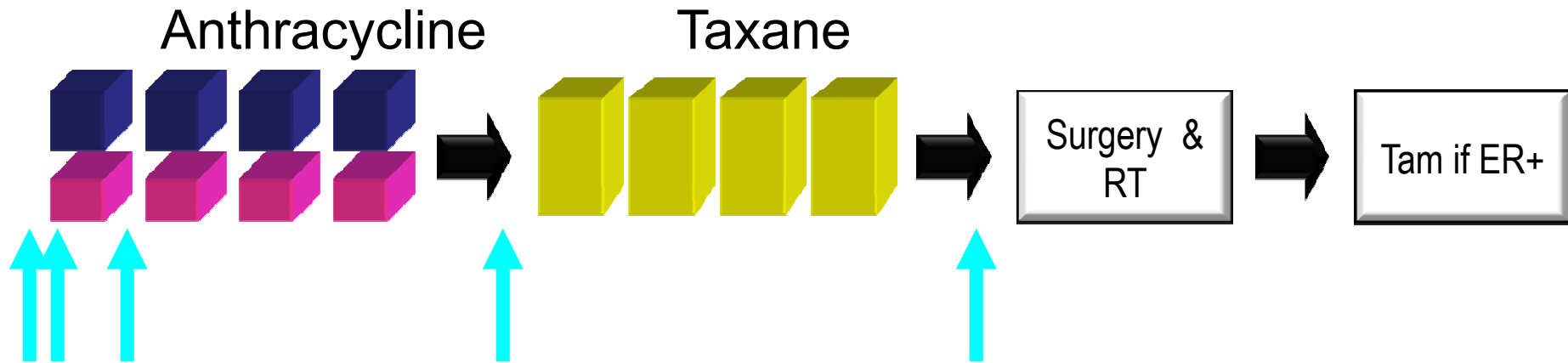
Molecular Biomarkers: Chuck Perou, Angie DeMichele,  
Marc Lenburg, Sarah Davis, Meredith Buxton, Chad Livasy,  
Chip Petricoin, Denise Wolf, Joe Gray et al



# I-SPY 1 Clinical Trial Backbone

CALGB 150007 / ACRIN 6657

Layered Imaging and Molecular Biomarker  
Studies Onto Standard Clinical Care  
Neo-adjuvant therapy



- Serial MRI Scans
- Serial Core Biopsies

# Questions

- Does early response help us to predict early relapse?
  - Complete Pathologic Response: pCR
  - Residual Cancer Burden: RCB
- How do the molecular signatures impact on the interpretation of pCR and RCB?

# Trial Endpoints

- Early
  - MRI response after 1 cycle of chemotherapy
    - Longest Diameter, Volume
- Intermediate
  - pCR            Pathologic Complete Response
  - RCB            Residual Cancer Burden
  - % change in MR volume
- Late
  - 3 year Recurrence Free Survival
  - 3 year Overall Survival

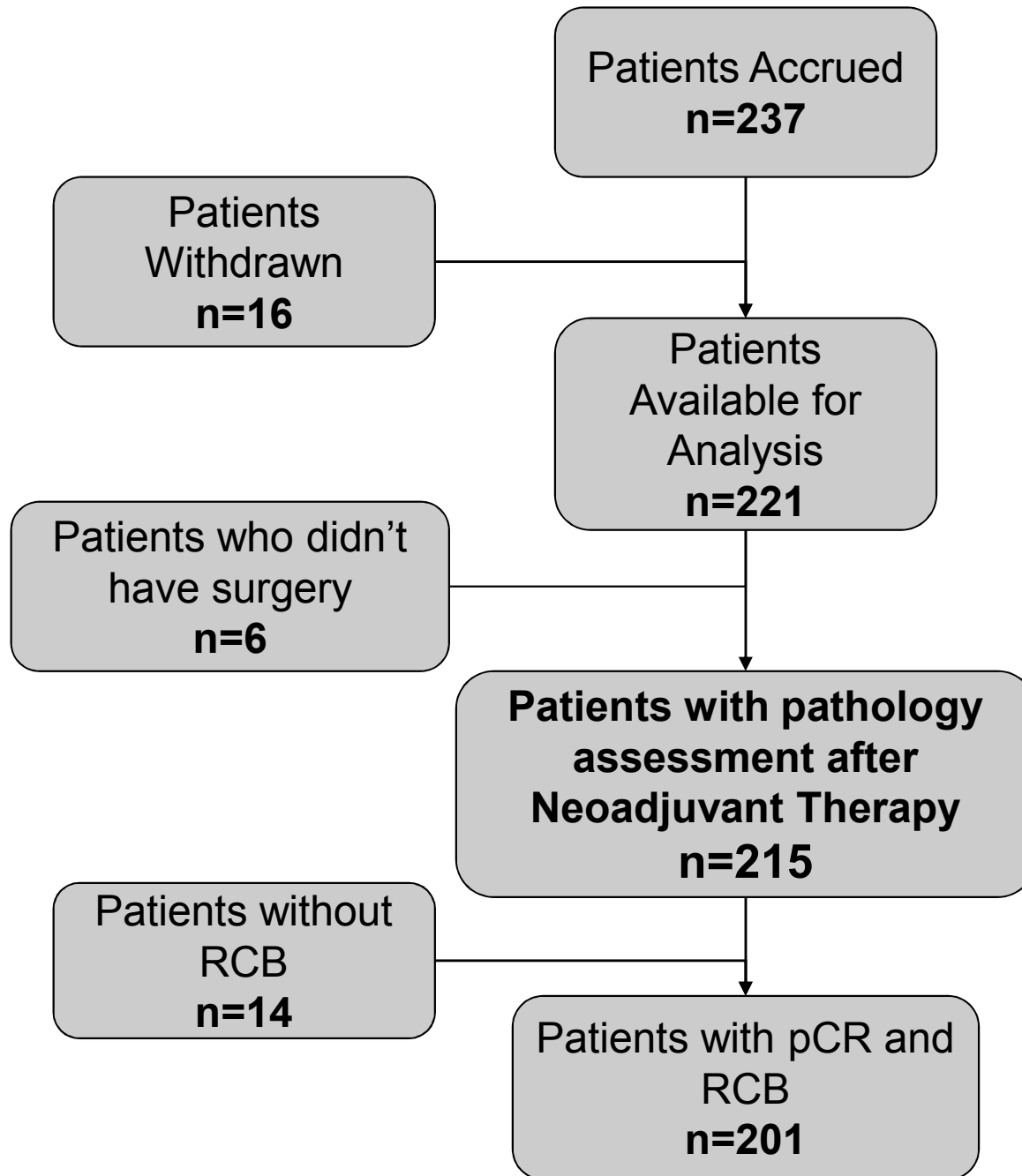
# Response measure at time of surgery: Residual Cancer Burden

- Integrates several pathologic features
  - Lymph node status
  - Extent of Tumor Bed
  - Tumor size
  - Tumor cellularity
- Output is continuous or 4 discrete categories
  - RCB 0                      pCR, no invasive tumor
  - RCB I                      scattered residual disease
  - RCB II                      moderate tumor burden
  - RCB III significant tumor burden

<b>Total Accrual: 237</b>	
<b>Institution Name</b>	<b>Accrual</b>
University of Pennsylvania Medical Center	<b>36</b>
Georgetown University Hospital	<b>4</b>
University of North Carolina	<b>36</b>
Memorial Sloan Kettering Cancer Center	<b>22</b>
University of Washington	<b>5</b>
University of Alabama at Birmingham Medical Center	<b>51</b>
University of Chicago	<b>2</b>
University of Texas Southwestern	<b>14</b>
University of California San Francisco	<b>66</b>

- **1042 frozen cores from 201 patients**
- **1301 paraffin cores from 223 patients**
- **948 serum samples from 158 patients.**

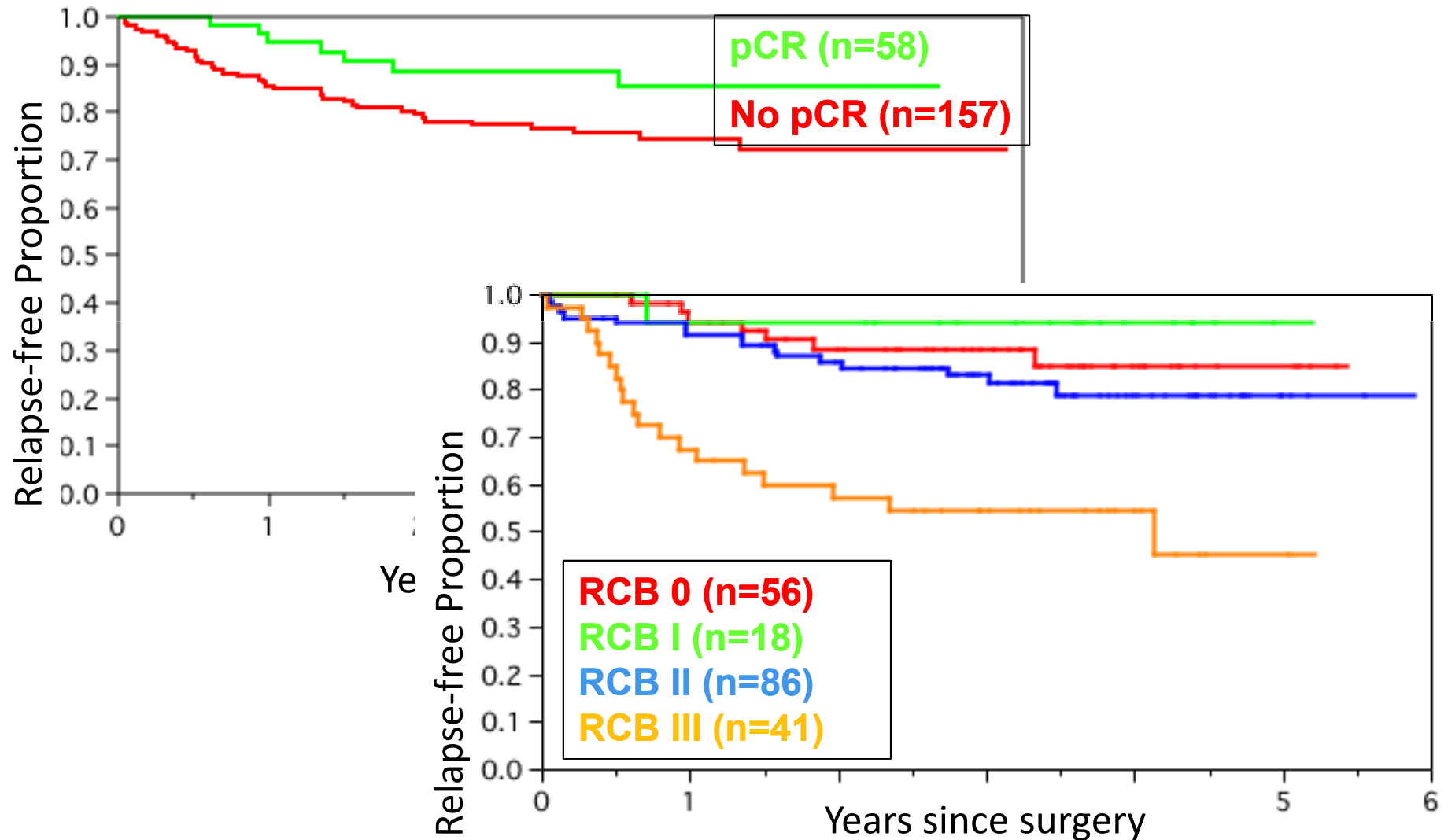




# Questions

- Does early response help us to predict early relapse?
  - Complete Pathologic Response: pCR
  - Residual Cancer Burden: RCB
- How do the molecular signatures impact on the interpretation of pCR and RCB?

# Relationship of pCR and RCB with Early Relapse for all I-SPY 1 Patients





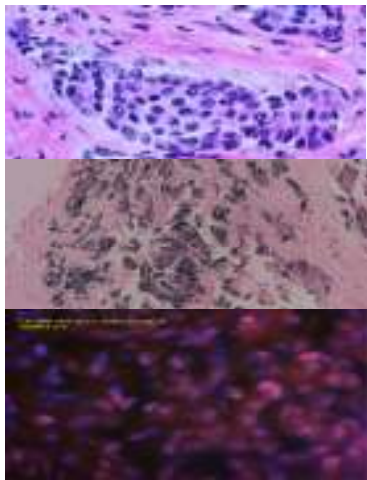
# Questions

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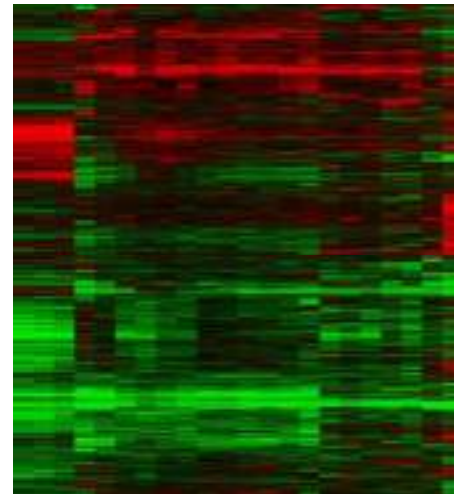
# I-SPY 1 Biomarker Platforms

Tissue: Core

H&E, IHC, FISH Expression Arrays



UNC, Penn



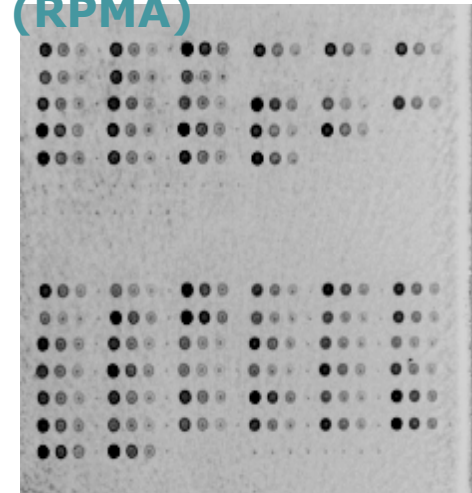
UNC, UCSF, NKI

p53 GeneChip



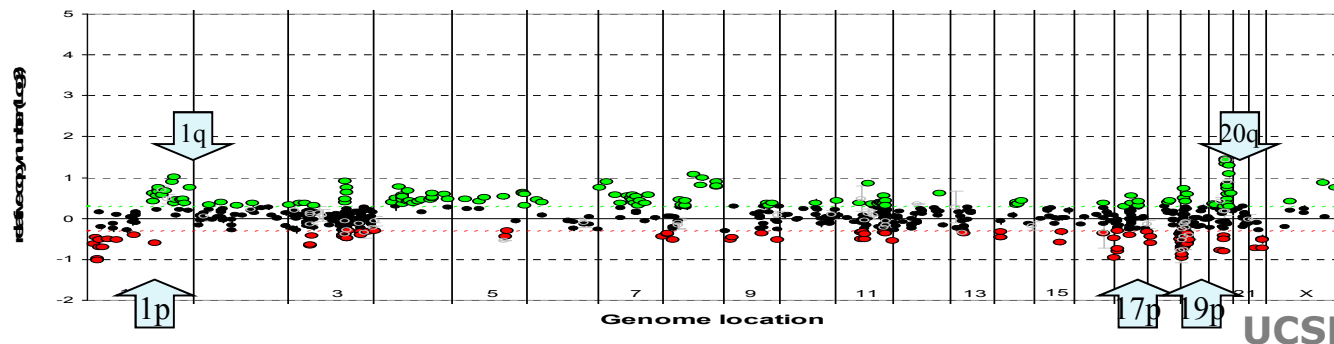
UNC

Protein Arrays (RPMA)



GMU

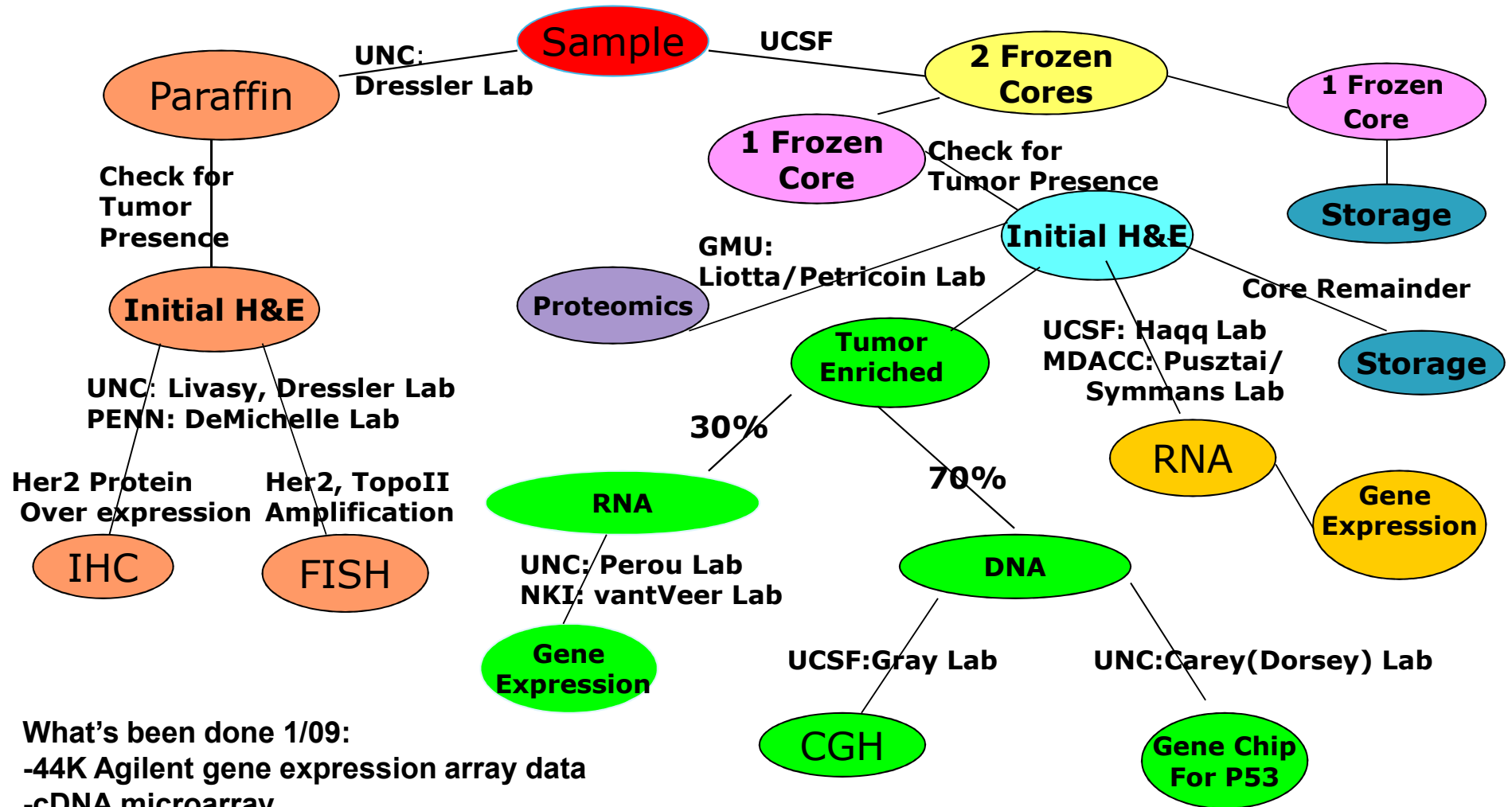
CGH



Serum

Id1 proteins  
autoantibodies  
phospho proteins

# Tissue Distribution & Analyses Schema



What's been done 1/09:

- 44K Agilent gene expression array data
- cDNA microarray
- MIP (CGH) array
- p53 sequencing
- RPMA
- IHC/FISH

Data uploaded in

NCI caIntegrator NCI: caBIG, Madhavan

UCSC Cancer Genomics Browser UCSC: Haussler, Kent, Zhu, Wang

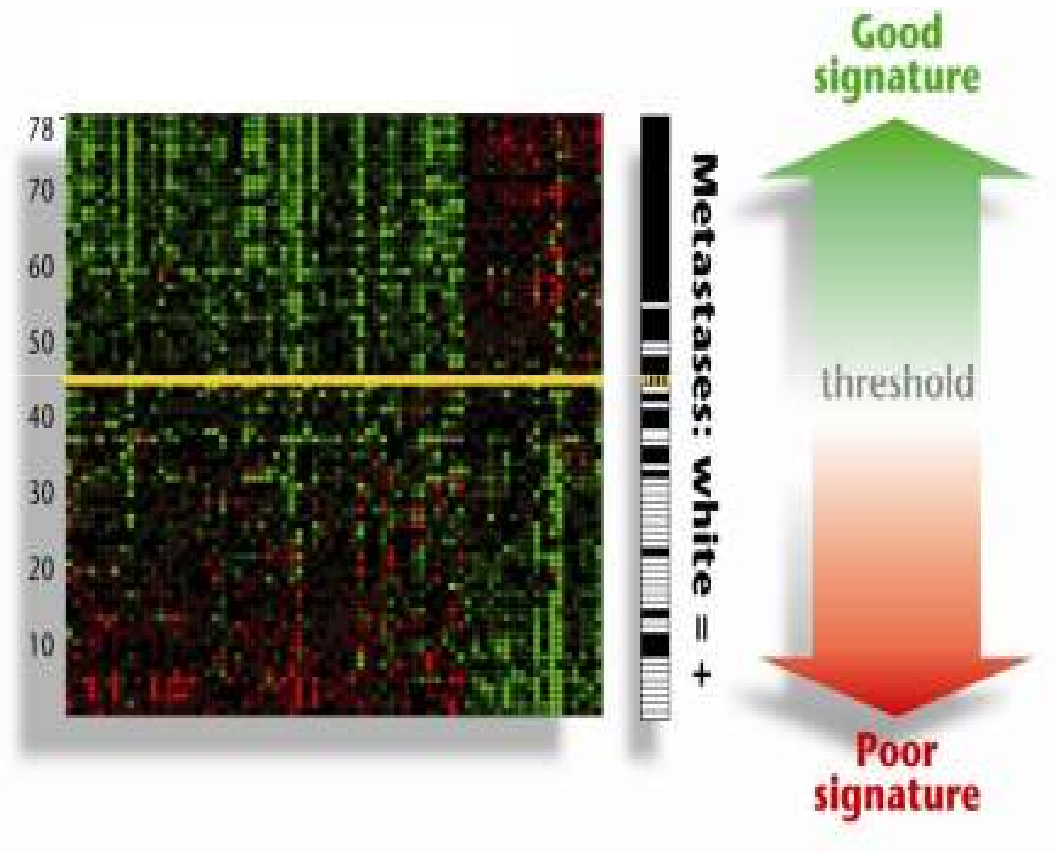
# I-SPY: Majority Poor Prognosis Tumors

## NKI 70 Gene Profile

**“Good”  
Signature 9%**

**“Poor”  
Signature 91%**

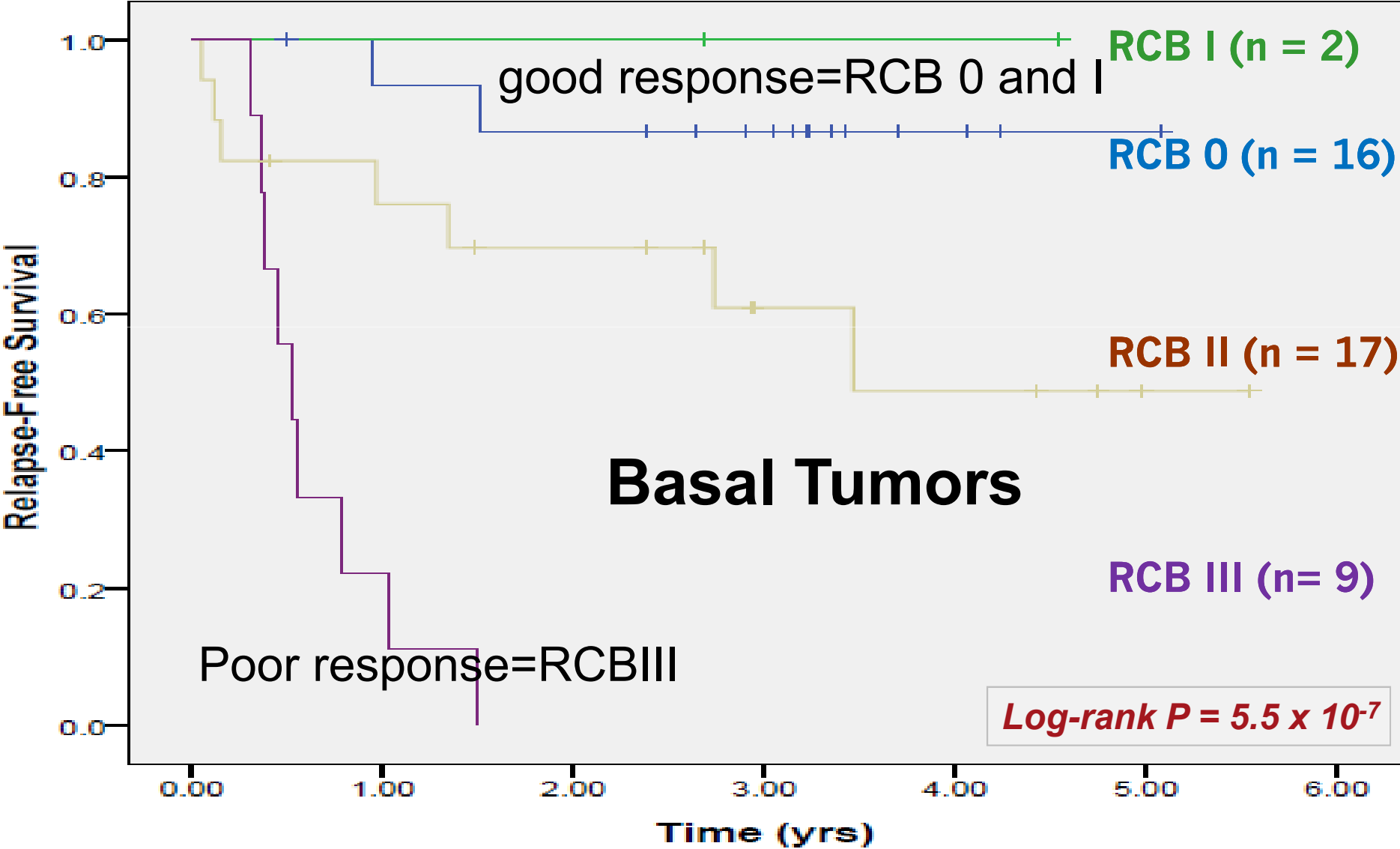
Mean Tumor Size= 6.0  
Present as clinical mass  
55% < Age 50



# pCR Rates: RNA Classifiers

Gene Profile	Distribution ( n = 149)	pCR (n = 144)	P-value
<b>ROR-S (intr subtypes)</b>			
Low	26%	5%	8.8 x 10 <sup>-4</sup>
Moderate	38%	22%	
High	37%	40%	
<b>NKI 70</b>			
Good Signature	9%	0%	0.038
Poor Signature	91%	27%	
<b>Wound Healing</b>			
Quiescent	23%	6%	0.0049
Activated	77%	30%	
<b>p53 Mutation Gene signature</b>			
Wildtype	50%	11%	3.7 x 10 <sup>-4</sup>
Mutation	50%	38%	

# Relationship of RCB with Early Relapse for 'poor biology' I-SPY 1 Patients



# Recurrence-free survival after neoadjuvant therapy:

## 1) Good Prognosis Biology Tumors

All do well REGARDLESS of pathological response (pCR and non-pCR) in neoadjuvant phase

No response, still good outcome, risk of recurrence low

Good Biology Tumors do not benefit from Chemotherapy

Recurrence-free survival after  
neoadjuvant therapy:

## 2) Poor Prognosis Biology Tumors

pCR (and RCB) in neo-adjuvant phase are  
**VERY** significant predictors of early relapse  
in the context of a poor prognosis profile

No response, no good outcome, risk of recurrence high

Response, better outcome, risk of recurrence lower

Poor Biology Tumors (subset) do benefit from Chemo



# Rapidly Learn to Tailor Agents

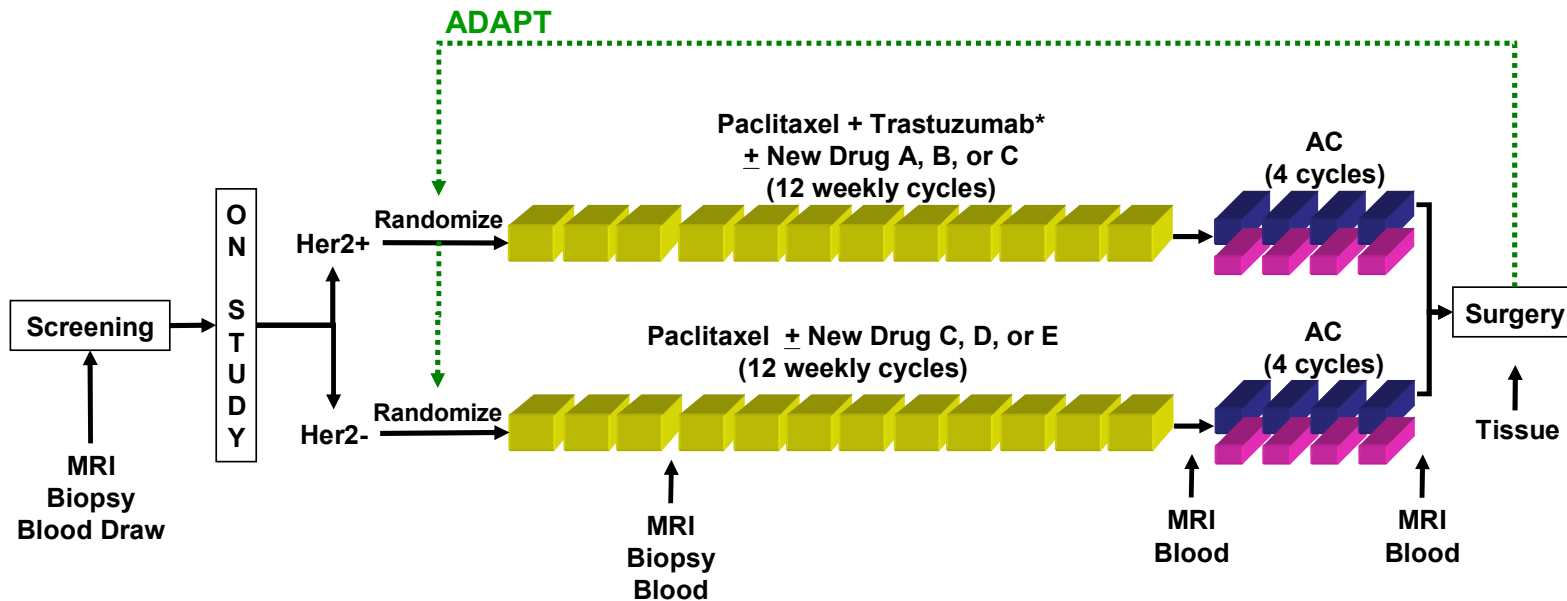
## I-SPY 2

Adaptive Design, Integration of Biomarkers

# I-SPY 2 is Designed to

- ▶ Screen phase 2 agents in combination with standard chemotherapy in neo-adjuvant setting
  - Endpoint is pCR
  - “threshold” for ‘graduation’ is 85% predicted likelihood of success in a 300-patient phase 3 trial for drug biomarker pair
- ▶ Select high risk biology patients only, in highest need of (more) effective therapies
- ▶ Accelerate process of identifying drugs that are effective for specific breast cancer subtypes
  - Integration of biomarkers
- ▶ Reduce the cost, time, and numbers of patients needed to get effective drugs to market

# I-SPY 2 Adaptive Trial Outline



*\*New Drug may be used in place of Trastuzumab in Experimental Treatment Arm*

**Accrual:** Anticipate 800 patients over 3–4 years

**Enroll:** ~20 patients per month

**Participating Sites:** 15–20 across US and Canada

# I-SPY 2 Adaptive Trial Schema: Screening & Randomization

## Eligibility Assessment Process



Patient presents with newly diagnosed  $\geq$  2.5cm invasive tumor

Core biopsy to assess eligibility

Eligibility determined by:

- Ability to tolerate MRI
- Ability to generate 44k Agilent microarray

Is patient:

- MammaPrint Low
- ER + and HER2 -

No

Patient On Study  
Randomized to treatment arm based on:

- ER, PR status
- HER2 Status
- MammaPrint score

Yes

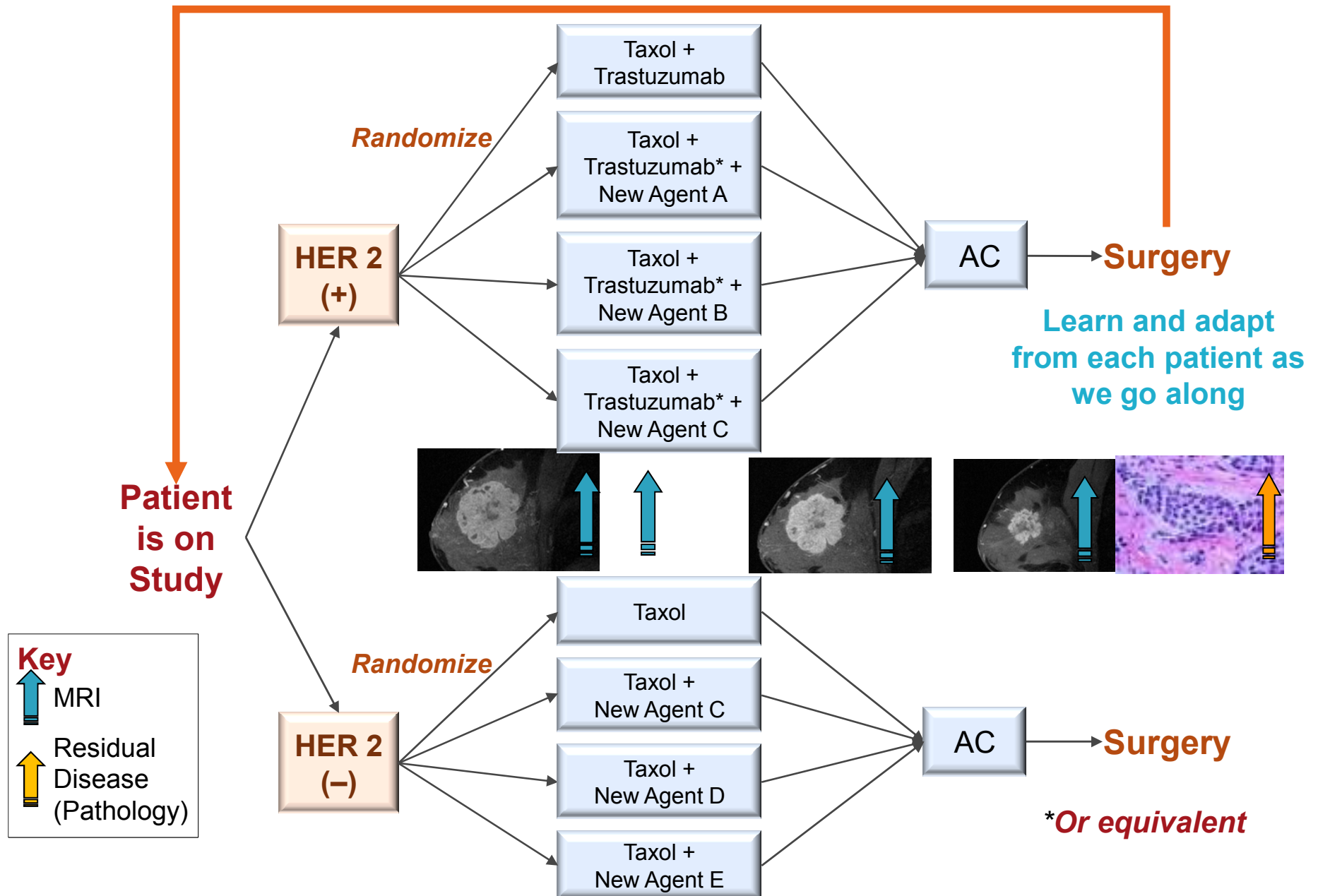
Patient not on study  
Not considered good candidate for chemotherapy

# TARGET PATIENT POPULATIONS FOR PROPOSED TIER 1 AGENTS

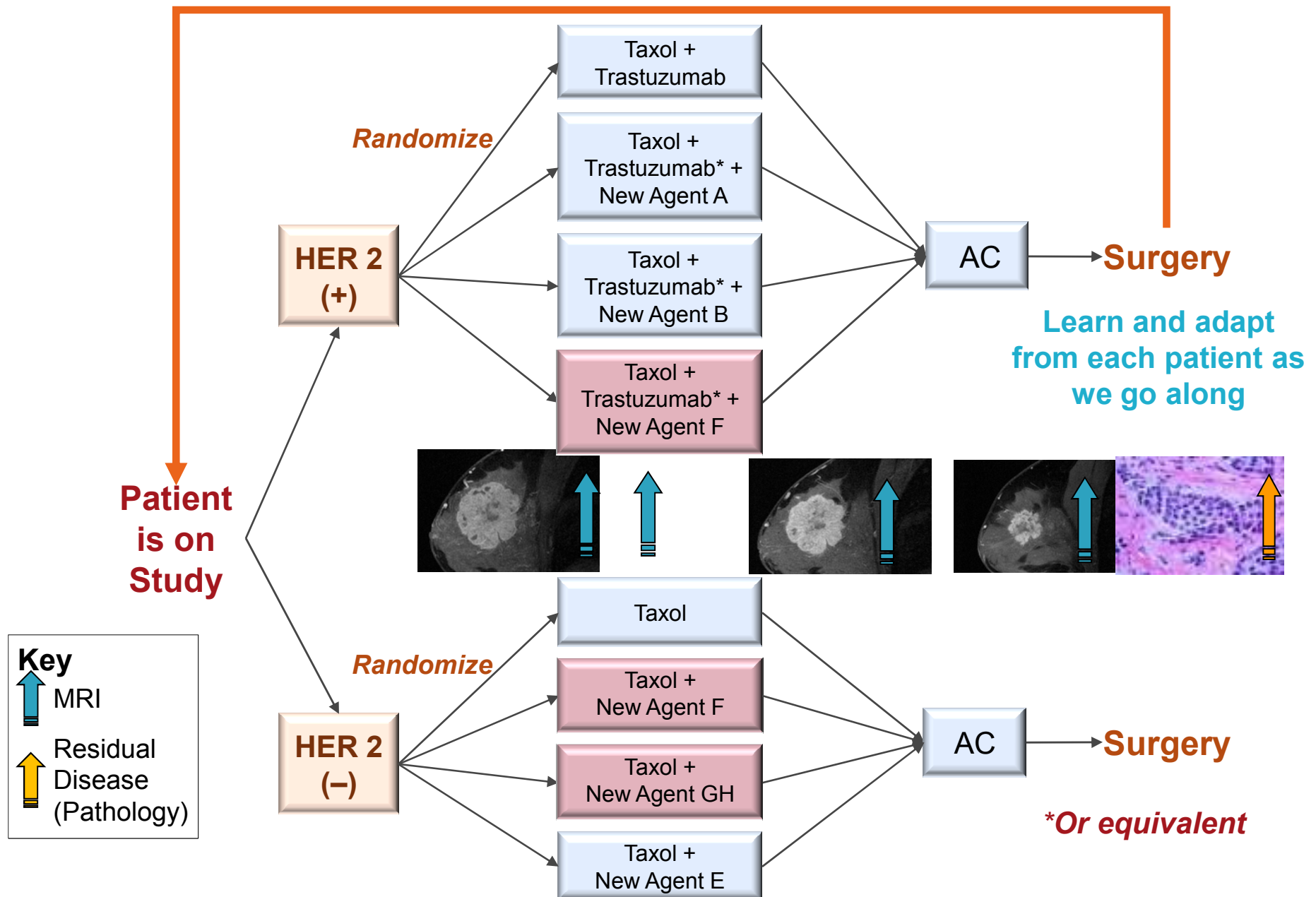
Agent	HER2+ / Any HR Cancers	HER2- / HR+ Cancers	HER2 - / HR - Cancers
PARP Inhibitor	No	Yes	Yes
IGFR Inhibitor	No	Yes	Yes
HER2 TKI Inhibitor	Yes*	No	No
APO/TRAIL	No	Yes	Yes
Vascular Disrupting Agent	No	Yes	Yes

\* Investigational agent will be given in place of trastuzumab for HER2+ study participant.

# I-SPY 2 Adaptive Trial: Introduce several new agents for a given profile



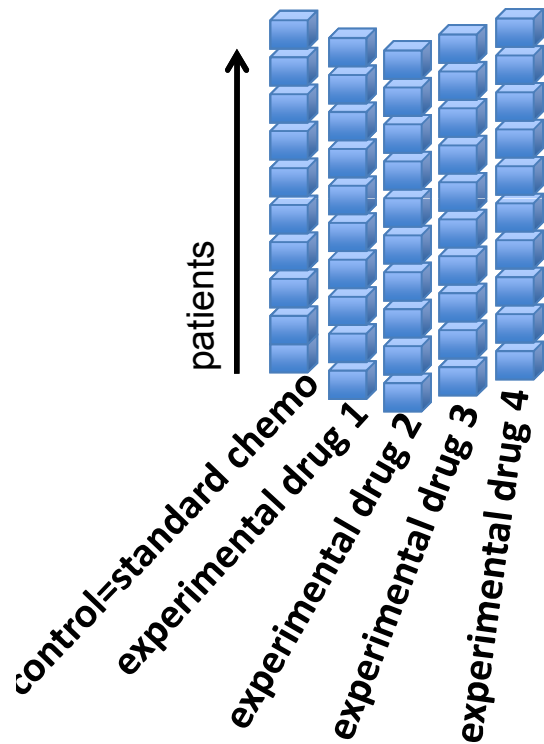
# I-SPY 2 Adaptive Trial: Learn, Drop, Graduate, and Replace Agents Over Time



# First part - 'Learning'

## random randomization and observation

At start of trial:  
patients randomly  
assigned to arm



all experimental arms  
plus standard chemo



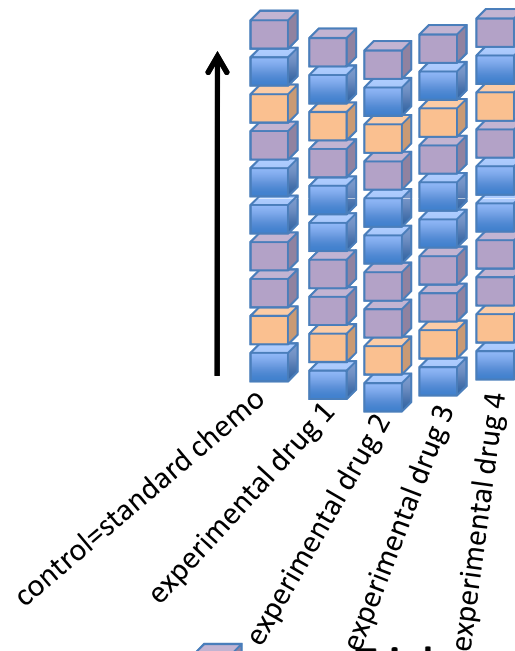
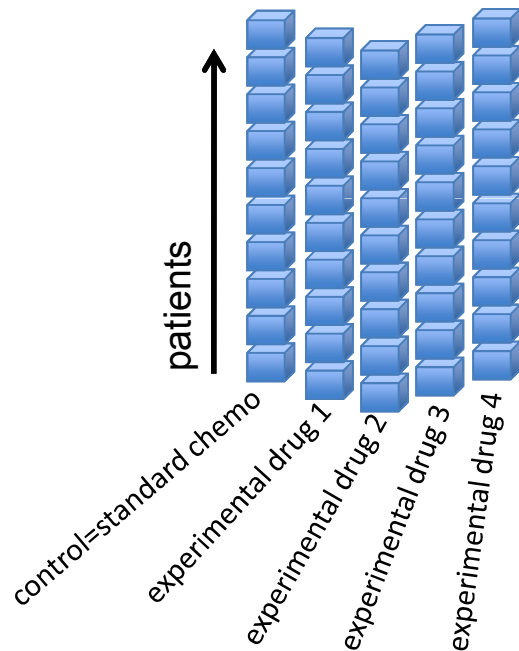
# First part - 'Learning'

## random randomization and observation





At start of trial:  
patients randomly  
assigned to arm



At entry of trial:  
patients tumor biology assessed,  
ER,PR,Her2, MammaPrint-index  
(stratified per arm)



all experimental arms  
plus standard chemo

- type 1**  e.g. Triple negative
- type 2**  e.g. ER pos MammaPrint-very high
- type 3**  e.g. ER pos
- type 8**  etc etc

# First part - 'Learning'

## random randomization and observation

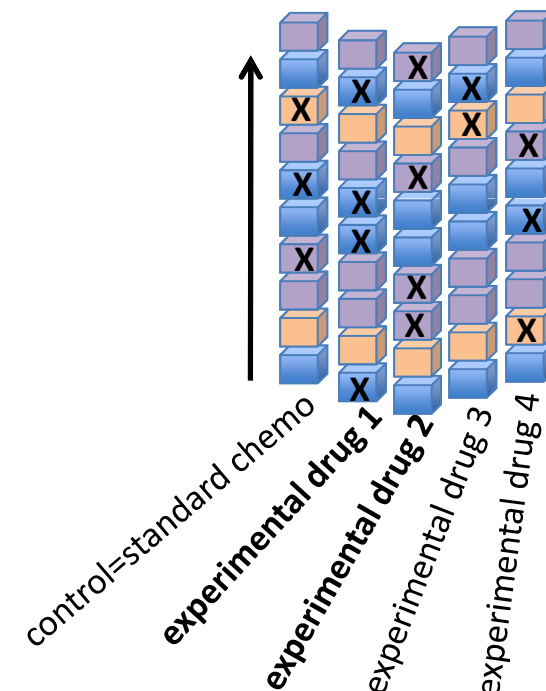
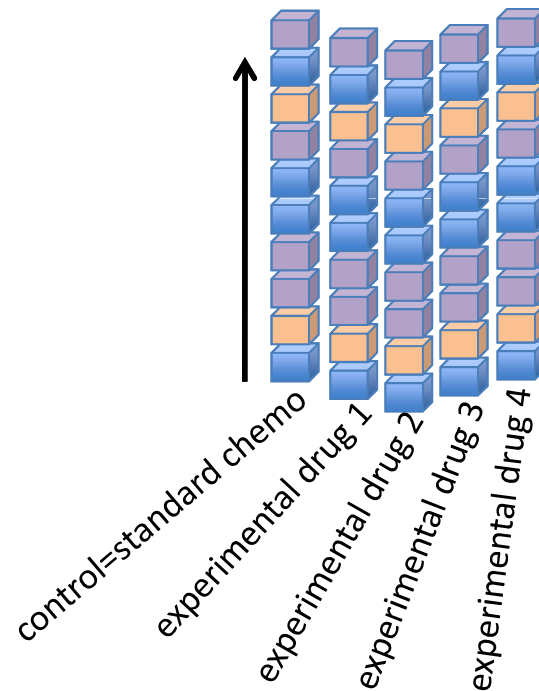
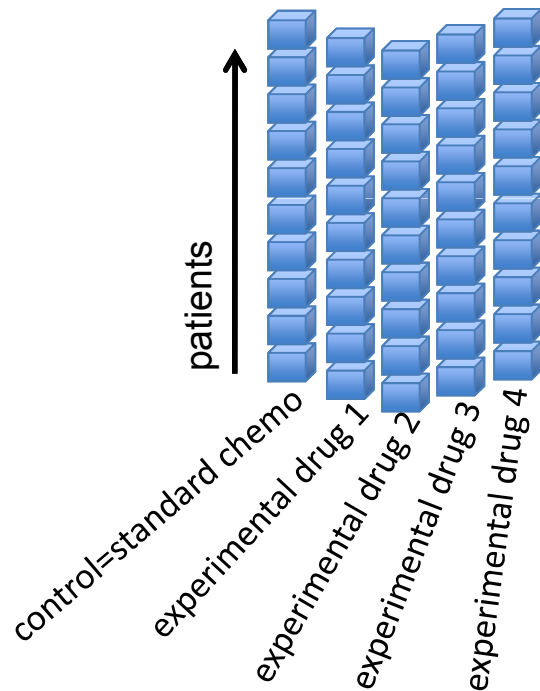
At start of trial:  
patients randomly  
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At entry of trial:  
patients tumor biology assessed,  
ER,PR,Her2, MammaPrint-index  
(stratified per arm)





At surgery:  
tumor response assessed  
(pCR=X) and evaluated for  
biology specific association

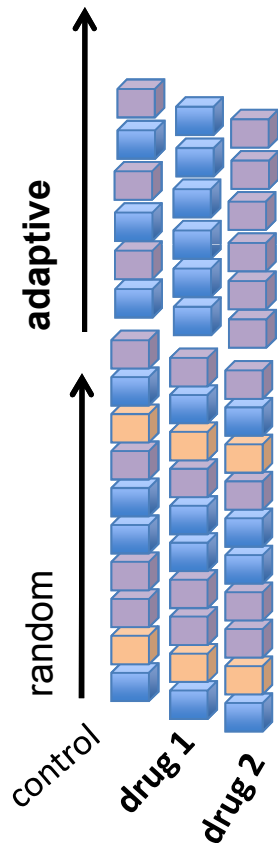


all experimental arms  
plus standard chemo

type 1  response drug 2  
type 2  response drug 1  
type 3 

# Continued in to - 'Adaptive' part assigned randomization and evaluation

- ➔ At entry of trial: assigned randomization based on  
patients tumor biology, ER,PR,Her2, MammaPrint-index
- Biology type 2  -> drug 1 or control
- Biology type 1  -> drug 2 or control




all experimental arms  
plus standard chemo

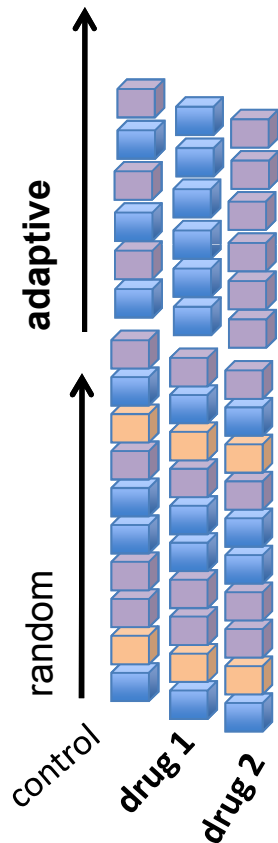
# Continued in to - 'Adaptive' part assigned randomization and evaluation



At entry of trial: assigned randomization based on patients tumor biology, ER,PR,Her2, MammaPrint-index

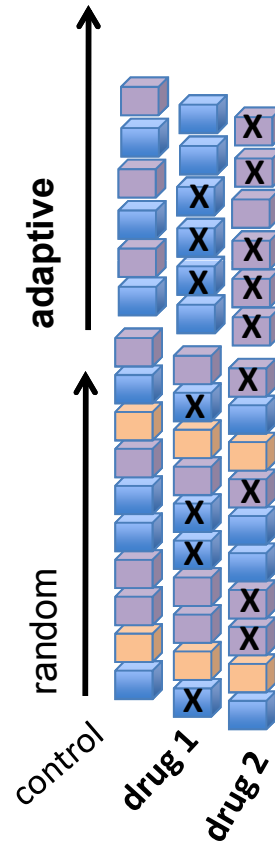
Biology type 2  -> drug 1 or control

Biology type 1  -> drug 2 or control



At surgery:

tumor response assessed (pCR=X) and evaluated for biology specific association



- endpoint is pCR
- “threshold” is 85% predicted likelihood of success in a 300-patient phase 3 trial for drug biomarker pair
- anticipated 100-120 patients needed per arm to find successful drug-biomarker combination or a failure

all experimental arms plus standard chemo

# Biomarkers in I-SPY 2

- ▶ When a drug leaves the trial, we learn the probability of success to predict response for

- Established/Approved Biomarkers

FDA Cleared  
or Approved

- IDE Biomarkers

- Qualifying Biomarkers

CLIA

- Exploratory Biomarkers (discovery of new markers of response prediction)

# Qualifying Biomarker

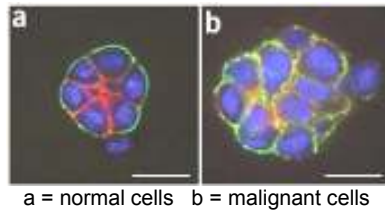
## Lawrence Berkeley National Lab 60 Cell Line Analysis

### Trial Preparation



I-SPY 2 investigational agents are applied to the 60 LBNL Breast Cancer Cell Lines identified using the Panomics QuantiGene Plex 2.0 Assay.

Cell lines are evaluated based on response to agents to predict effectiveness of the agents by cell line



### Participant Treatment



Biopsy is taken from the trial participant's tumor and predictive gene expression profile generated using the Panomics QuantiGene Plex 2.0 Assay in a CLIA certified lab.



Trial Participants are treated with an investigational agent based on trial randomization



Results of treatment on participants are evaluated

### Post-Treatment Analysis

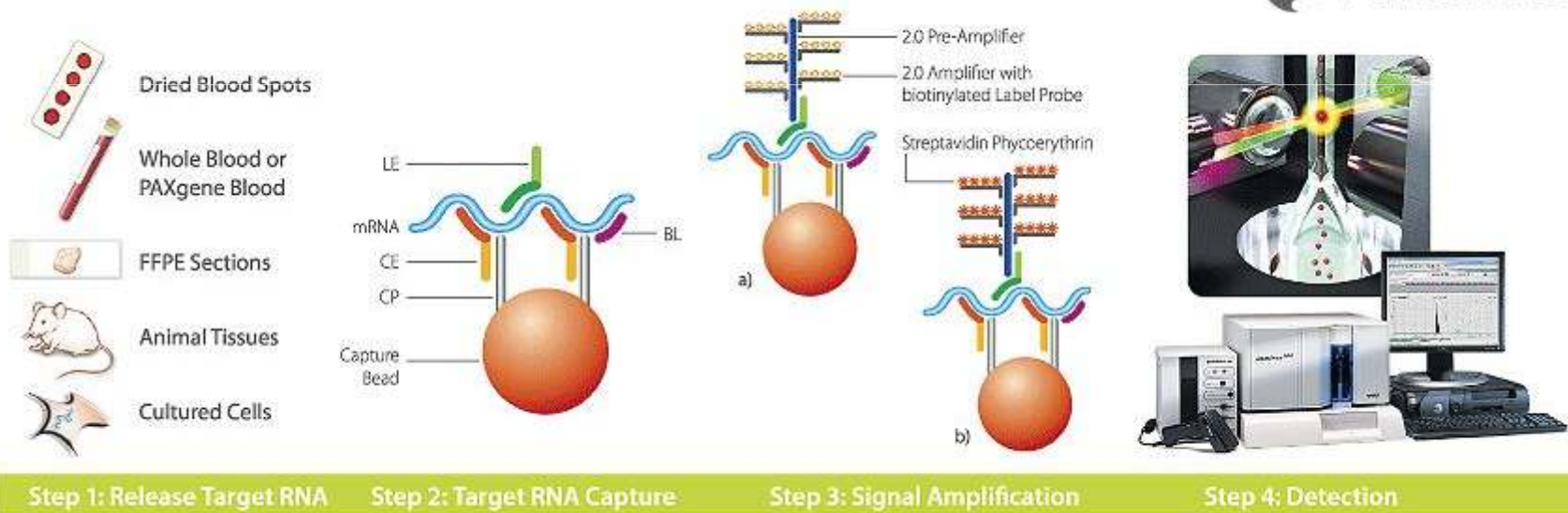
Actual participant responses are compared to predicted responses based on cell line signature

# Qualifying Biomarker: Predictive Markers

## Lawrence Berkeley National Lab 60 Cell Line Analysis using the Panomics QuantiGene Plex 2.0 Assay

The participant's tumor is matched to one of the 60 cell lines using the gene expression profile determined using the Panomics QuantiGene Plex 2.0 Assay.

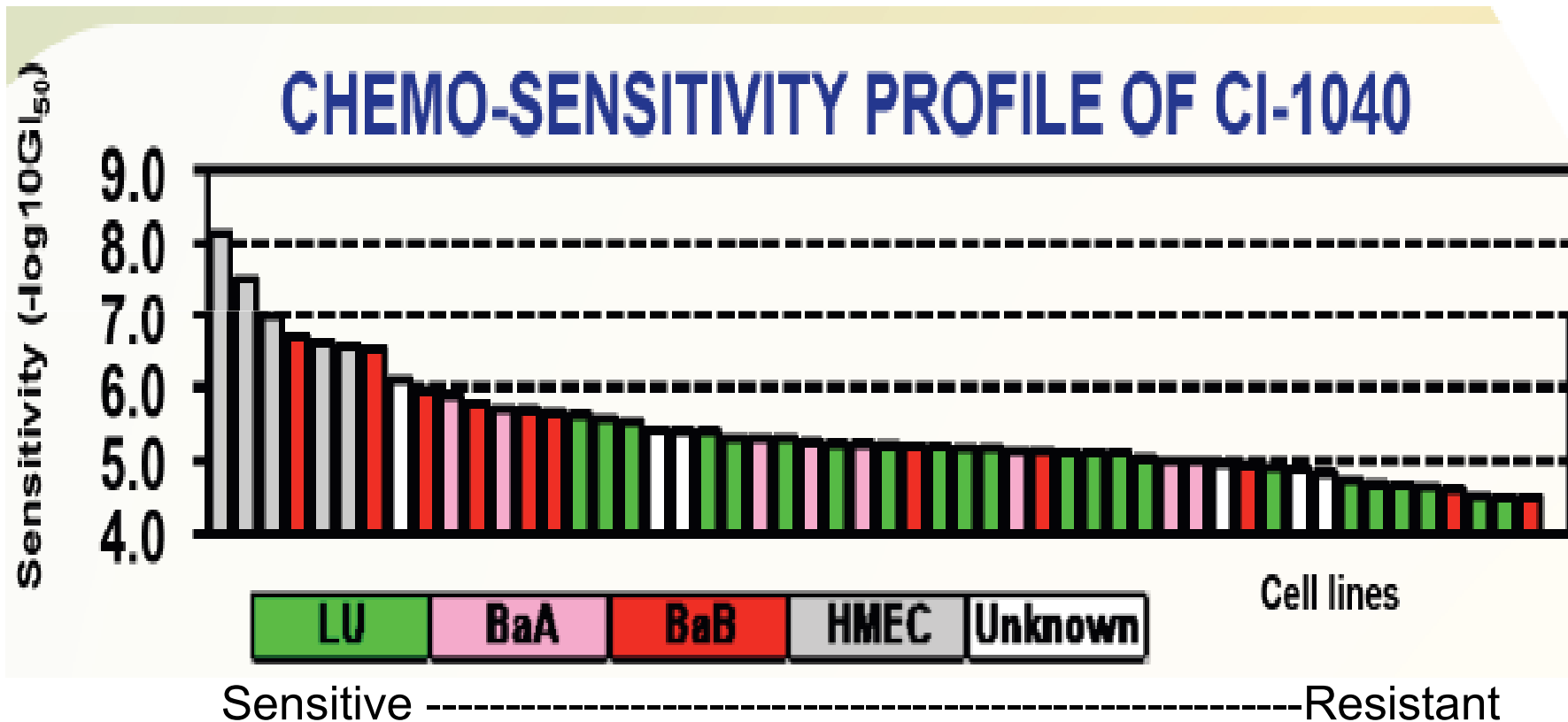
### Panomics QuantiGene Plex 2.0 Assay Work Flow



Joe Gray et al

# Targeting MEK in 46 cell lines

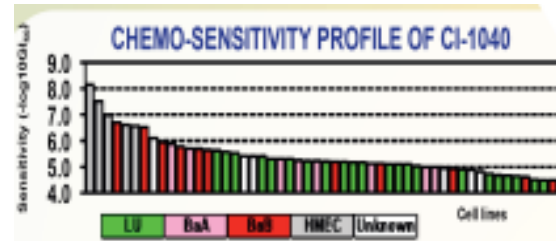
## Gray Lab – a pilot



Red: basal-type; Green: luminal-type cell lines

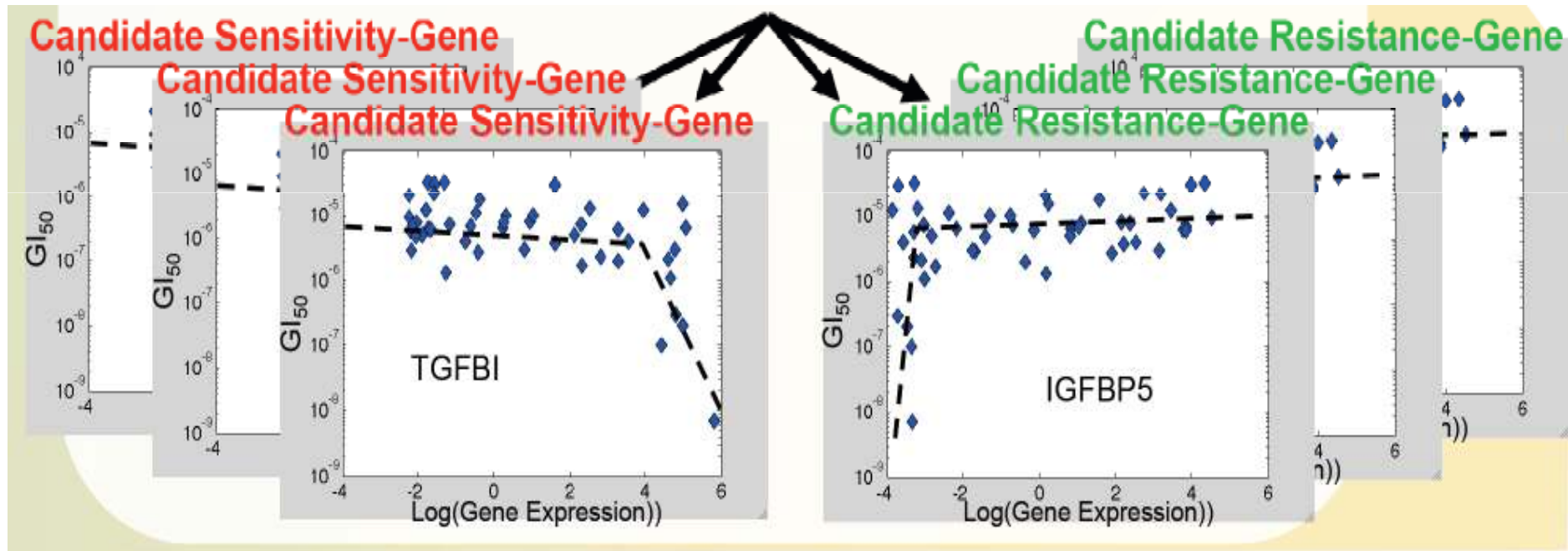


# *in vitro* derived MEK response markers



SABCS poster #2042

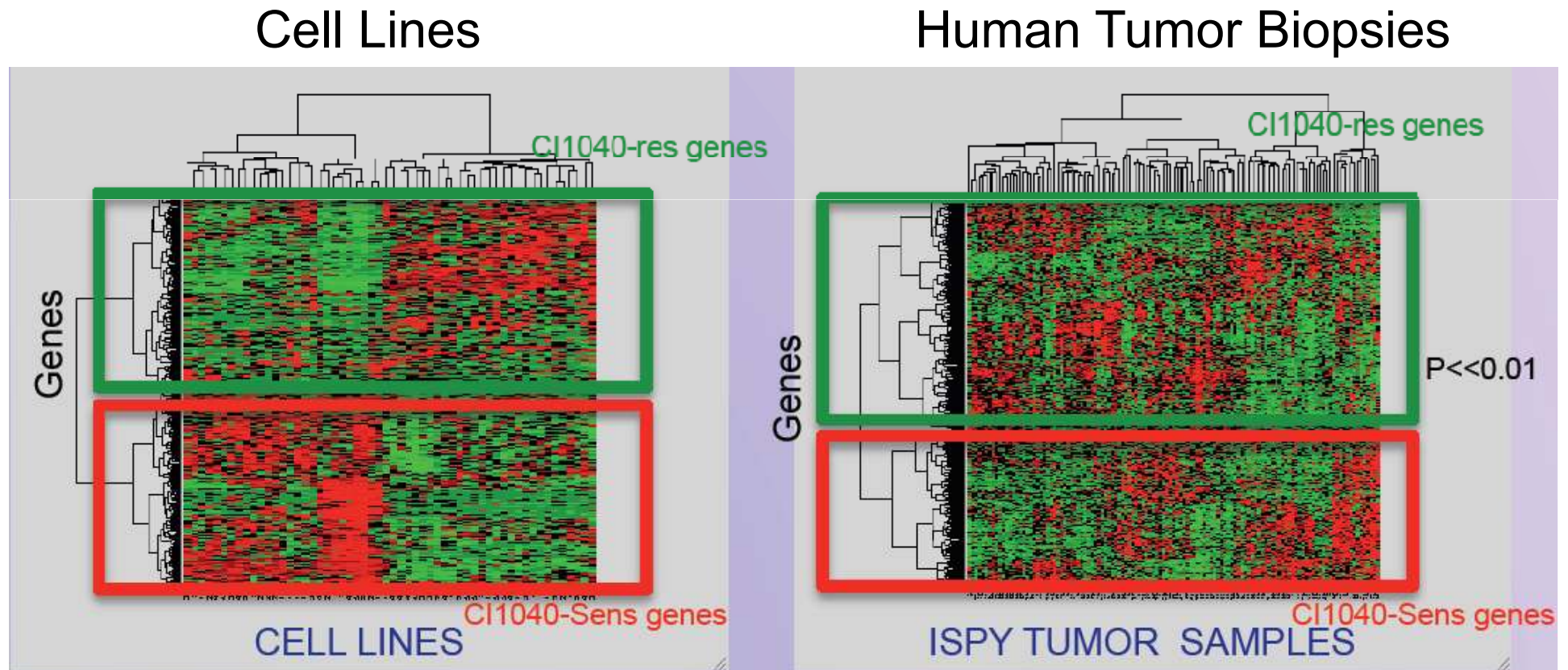
Wolf et al



Analysis of *in vitro* data using adaptive splines identified 406 genes predictive of response to **CI1040**, **135** and **271** were expressed **more highly in CI1040-resistant or –sensitive cell lines respectively**

# *in vitro* derived MEK response markers

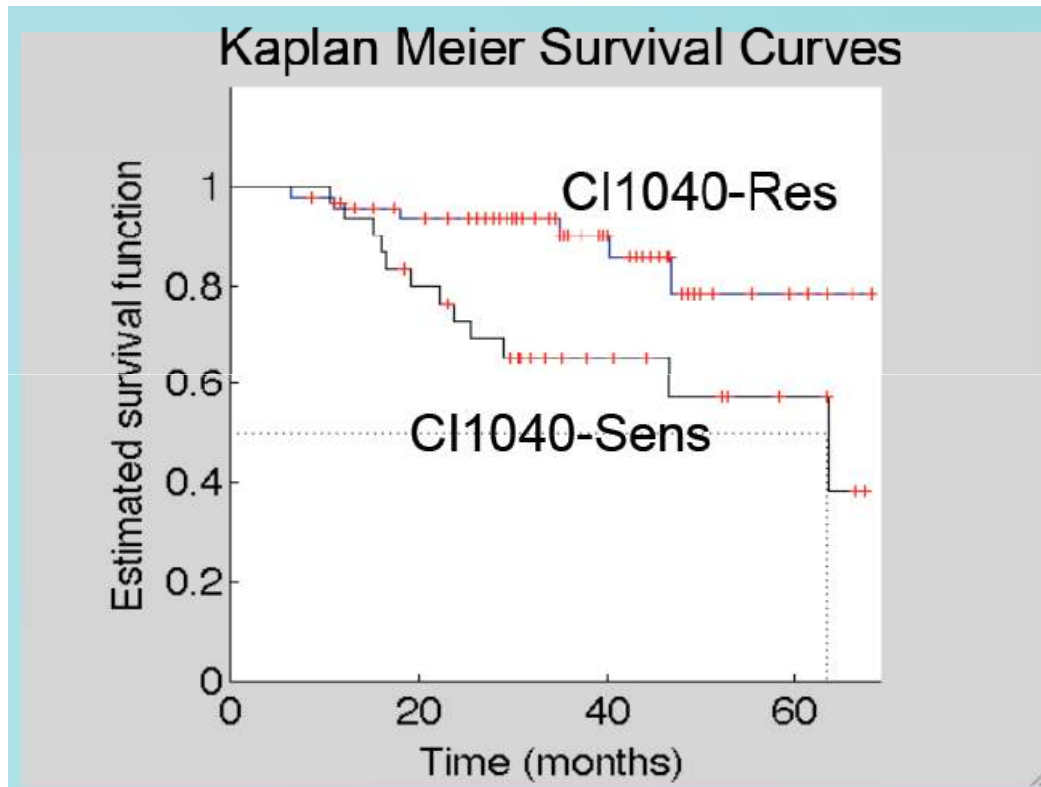
Co-expressed predictor genes in cell lines  
also co-expressed in human tumor biopsies



Hierarchical clustering with 135 and 271 that were expressed more highly in CI1040-resistant or –sensitive cell lines respectively

# I-SPY1 patient biopsies evaluated for MEK response markers

SABCS poster #2042  
Wolf et al



Hypothesis:  
MEK inhibitor  
sensitive patients  
could potentially  
benefit

I-SPY clinical trial patients received standard taxol/anthracyclin neo-adjuvant therapy; biopsies pre-treatment analysed for gene expression  
Median survival 3.6 years

# Breast Cancer subtypes and marker identification to guide therapy

testing *in vitro* derived response markers in human breast cancer biopsies

- Existence of cell line response expression patterns in human tumors (Clinical trial I-SPY1)
- Provide a system where cell line response markers are 'qualified' in patients treated with the same drug (Clinical trial I-SPY2)
- Provide a system where validated markers can be used to drive treatment selection for specific drugs (Clinical trial I-SPY2)

neo-adjuvant design  
integrating molecular and imaging data  
to optimize effective treatment assignment

